

Resilient Functions and Cyclic Codes from CA

ACRI 2016 – Fez

Luca Mariot^{1,2}, Alberto Leporati¹

¹ DISCO, Università degli Studi Milano - Bicocca, Italy

² I3S, Université Nice Sophia Antipolis, France

luca.mariot@disco.unimib.it

September 5, 2016

One-Dimensional Cellular Automata (CA)

Definition (One-dimensional cellular automaton)

One-dimensional array of $n \in \mathbb{N}$ cells, equipped with a local rule $f : \{0, 1\}^{2r+1} \rightarrow \{0, 1\}$ of radius $r \in \mathbb{N}$.

Example: $n = 8$, $r = 1$, $f(s_{i-1}, s_i, s_{i+1}) = s_{i-1} \oplus s_i \oplus s_{i+1}$ (Rule 150)

...

0	1	1	0	0
---	---	---	---	---

 ...

↓ $f(1, 1, 0) = 1 \oplus 1 \oplus 0$

0

1	0	0	0	0	1	0	1
---	---	---	---	---	---	---	---

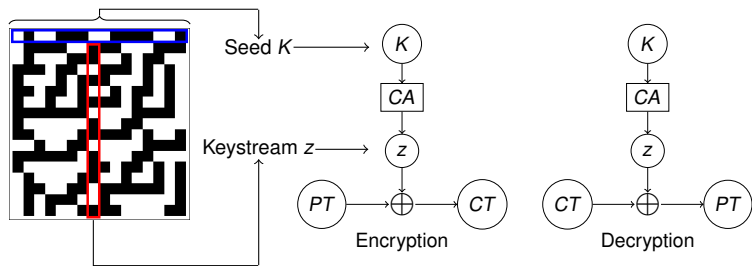
Parallel update ↓ Global rule F

1	0	0	1	1	0
---	---	---	---	---	---

Remark: No boundary conditions \Rightarrow The array “shrinks”

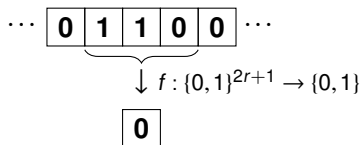
CA-Based Cryptography: Motivations

- ▶ **General Idea**: exploit the emergent complexity of CA to design cryptosystems satisfying confusion and diffusion criteria
- ▶ CA-based **Pseudorandom Generator** (PRG) [Wolfram86]: central cell of rule 30 CA used as a stream cipher keystream



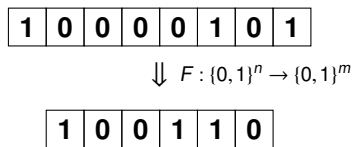
Our Contribution at a Glance

CA-based stream cipher design, up to now:



- ▶ Focus on CA local rules, viewed as **Boolean functions**
- ▶ Rationale: choose rule f with best crypto properties

Our approach:



- ▶ Some attacks cannot be formalized in a local way
- ▶ **Idea:** Analyse the crypto properties of the CA **global rule** as a **vectorial** Boolean function

Resiliency: Basic Definitions

Let $F : \{0, 1\}^n \rightarrow \{0, 1\}^m$ be a n -inputs, m -outputs Boolean function.
Then:

- ▶ F is **balanced** if $|F^{-1}(y)| = 2^m$ for all $y \in \{0, 1\}^m$
- ▶ F is **t -resilient** if, fixing any $t < n$ coordinates, the restricted map $F|_t : \{0, 1\}^{n-t} \rightarrow \{0, 1\}$ is balanced

Example: Rule 150, $n = 3$, $m = 1$, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

(x_1, x_2, x_3)	000	100	010	110	001	101	011	111
$f(x_1, x_2, x_3)$	0	1	1	0	1	0	0	1

The Resiliency Game [Chor85]

1. The *player* chooses a function $F : \{0, 1\}^n \rightarrow \{0, 1\}^m$

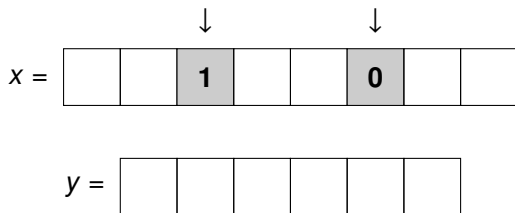
$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & & & & & & & \\ \hline \end{array}$$

$$y = \begin{array}{|c|c|c|c|c|c|} \hline & & & & & \\ \hline \end{array}$$

Example: CA $F : \{0, 1\}^8 \rightarrow \{0, 1\}^6$ induced by rule 150,
 $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

The Resiliency Game [Chor85]

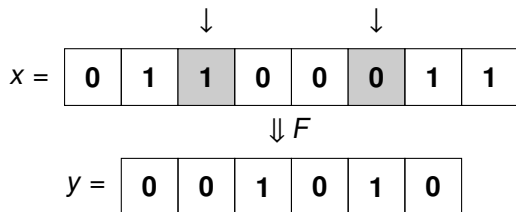
2. The *adversary* chooses the values of t input variables



Example: CA $F : \{0, 1\}^8 \rightarrow \{0, 1\}^6$ induced by rule 150,
 $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

The Resiliency Game [Chor85]

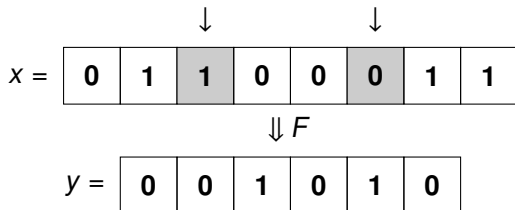
3. The player applies function F



Example: CA $F : \{0, 1\}^8 \rightarrow \{0, 1\}^6$ induced by rule 150,
 $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

The Resiliency Game [Chor85]

- ▶ **Outcome:** if $F(x)$ is uniformly distributed over \mathbb{F}_2^m , then the player wins. Otherwise, the adversary wins



Example: CA $F : \{0, 1\}^8 \rightarrow \{0, 1\}^6$ induced by rule 150,
 $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Winning Strategy for the Player: choose a t -resilient function

Definition (Bipermutivity)

A single-output Boolean function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ is bipermutive if, fixing either the leftmost or the rightmost $n - 1$ variables, the resulting restriction $f|_{n-1} : \{0, 1\} \rightarrow \{0, 1\}$ is a permutation

Equivalently, f is bipermutive if

$$f(x_1, x_2, \dots, x_{n-1}, x_n) = x_1 \oplus g(x_2, \dots, x_{n-1}) \oplus x_n$$

where $g : \{0, 1\}^{n-1} \rightarrow \{0, 1\}$

Example: Rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$, with $g(x_2) = x_2$

In [Leporati13], the following result was proved:

Theorem

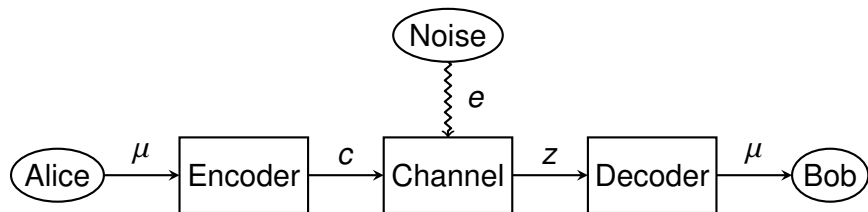
Let $f : \{0, 1\}^n \rightarrow \{0, 1\}$ be bipermutive. Then, f is 1-resilient

We generalized this result to CA global rules:

Theorem

Given a CA with n cells and bipermutive local rule $f : \{0, 1\}^{2r+1} \rightarrow \{0, 1\}$, the global rule $F : \{0, 1\}^n \rightarrow \{0, 1\}^{n-2r}$ induced by f is 1-resilient

Error-Correcting Codes – Communication Model



- ▶ $\mu \in \{0, 1\}^m$: message
- ▶ $c \in \{0, 1\}^n$: codeword ($n > m$)
- ▶ $e \in \{0, 1\}^n$: error pattern
- ▶ $z = c \oplus e$ (received word)

Definition

A (n, m, d) binary linear code C of minimum distance d is an m -dimensional subspace of $\mathbb{F}_2^n = \{0, 1\}^n$, such that for all $c_1, c_2 \in C$

$$d_H(c_1, c_2) \geq d$$

where d_H denotes the Hamming distance

$g_1, \dots, g_m \in \mathbb{F}_2^n$ basis of $C \Leftrightarrow G = \begin{pmatrix} g_1 \\ \vdots \\ g_m \end{pmatrix} m \times n$ **generator matrix** of C

Encoding: vector-matrix multiplication

$$\mu \mapsto c = \mu G$$

- ▶ **Parity Check Matrix:** a $(n - m) \times n$ matrix H such that

$$s = Hz^T = 0 \Leftrightarrow z \in C$$

s : **Syndrome** of z

- ▶ Suppose $z = c \oplus e$, $c \in C$ and $e \in \mathbb{F}_2^n$. Then

$$Hz^T = H(c \oplus e)^T = Hc^T \oplus He^T = He^T$$

Syndrome Decoding: find $e \in \mathbb{F}_2^n$ and return $c = z \oplus e$

Definition

A (n, m, d) linear code is **cyclic** if for all $c = (c_0, c_1, \dots, c_{n-1}) \in C$

$$\sigma(c) = (c_1, \dots, c_{n-1}, c_0) \in C$$

► **Generator Matrix:**

$$G = \begin{pmatrix} g_0 & \cdots & g_{n-m} & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & g_0 & \cdots & g_{n-m} & 0 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & 0 & g_0 & \cdots & g_{n-m} \end{pmatrix}$$

► **Parity-check Matrix:**

$$H = \begin{pmatrix} h_m & \cdots & h_0 & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & h_m & \cdots & h_0 & 0 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & 0 & h_m & \cdots & h_0 \end{pmatrix}$$

- ▶ Local rule: **linear combination** of the neighborhood cells

$$f(x_0, \dots, x_{2r}) = a_0 x_0 \oplus \dots \oplus a_{2r} x_{2r}, \quad a_i \in \mathbb{F}_2$$

- ▶ Global rule: $m \times (m + 2r)$ $2r + 1$ -diagonal **transition matrix**

$$M_F = \begin{pmatrix} a_0 & \cdots & a_{2r} & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & a_0 & \cdots & a_{2r} & 0 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & 0 & a_0 & \cdots & a_{2r} \end{pmatrix}$$

$$x = (x_0, \dots, x_{n-1}) \mapsto M_F x^\top$$

Linear CA are Cyclic Codes

$$M_F = \begin{pmatrix} a_0 & \cdots & a_{2r} & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & a_0 & \cdots & a_{2r} & 0 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & 0 & a_0 & \cdots & a_{2r} \end{pmatrix}$$
$$G = \begin{pmatrix} g_0 & \cdots & g_{n-m} & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & g_0 & \cdots & g_{n-m} & 0 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & 0 & g_0 & \cdots & g_{n-m} \end{pmatrix}$$

Linear CA \Leftrightarrow Cyclic codes

Question: How is encoding/decoding performed?

Encoding in Linear CA

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \cdots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \cdots \oplus y_i$$

1. Initialize the leftmost $2r$ cells (x_0, \dots, x_{2r})

$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline \mathbf{0} & \mathbf{1} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} \\ \hline \end{array}$$
$$y = \begin{array}{|c|c|c|c|c|c|} \hline \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{1} & \mathbf{1} & \mathbf{0} \\ \hline \end{array}$$


Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Encoding in Linear CA

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \cdots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \cdots \oplus y_i$$

2. Compute $x_{2r} = x_{2r} = a_0 x_0 \oplus \cdots \oplus y_0$

$$0 \oplus 1 \oplus 1 = 0$$


$x =$

0	1	?	?	?	?	?	?
---	---	---	---	---	---	---	---

$y =$

1	0	0	1	1	0
---	---	---	---	---	---

Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \dots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \dots \oplus y_i$$

3. Shift the $(2r)$ -cell window one place to the right

$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline 0 & 1 & 0 & ? & ? & ? & ? & ? \\ \hline \end{array}$$
$$y = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 0 & 0 & 1 & 1 & 0 \\ \hline \end{array}$$

Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Encoding in Linear CA

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \dots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \dots \oplus y_i$$

4. Compute $x_\delta = a_0 x_1 \oplus \dots \oplus y_1$

$$1 \oplus 0 \oplus 0 = 1$$

$x =$

0	1	0	?	?	?	?	?
---	---	---	---	---	---	---	---

$y =$

1	0	0	1	1	0
---	---	---	---	---	---

Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Encoding in Linear CA

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \cdots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \cdots \oplus y_i$$

5. Repeat until preimage is complete

$$0 \oplus 1 \oplus 0 = 1$$

↓

$x =$	0	1	0	1	?	?	?	?
$y =$	1	0	0	1	1	0		

Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Remark: if $a_0, a_{2r} \neq 0$ (f is bipermutive) then

$$y_i = a_0 x_0 \oplus \cdots \oplus a_{2r} x_{2r} \Rightarrow x_{2r} = a_0 x_0 \oplus \cdots \oplus y_i$$

5. Repeat until preimage is complete

$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline 0 & 1 & 0 & 1 & 1 & 1 & 1 & 0 \\ \hline \end{array}$$
$$y = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 0 & 0 & 1 & 1 & 0 \\ \hline \end{array}$$

Example: rule 150, $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

Decoding in Linear CA

- ▶ CA Transition Matrix \Leftrightarrow Parity Check Matrix
- ▶ Syndrome computation is performed by CA global rule

$$z = \begin{array}{|c|c|c|c|c|c|c|c|} \hline 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 \\ \hline \end{array}$$

$\Downarrow F$

$$s = \begin{array}{|c|c|c|c|c|c|} \hline 0 & 0 & 0 & 0 & 0 & 0 \\ \hline \end{array}$$

(a) $s = \underline{0} \Rightarrow$ No errors

$$z = \begin{array}{|c|c|c|c|c|c|c|c|} \hline 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ \hline \end{array}$$

$\Downarrow F$

$$s = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 0 & 0 & 1 & 1 & 0 \\ \hline \end{array}$$

(b) $s \neq \underline{0} \Rightarrow$ Errors occurred

Last Missing Piece: minimum distance d

Theorem ([Stin04])

A linear function $F : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$ defined by a matrix M_F is $(d-1)$ -resilient iff M_F is the generator matrix of a (n, m, d) linear code.

- ▶ Our theorem shows that every bipermutive linear CA induces a cyclic code with minimum distance $d \geq 2$, since the global rule is 1-resilient
- ▶ One can view the design of linear cyclic codes as the search of high resilient CA global rules.

- ▶ Study of the cryptographic properties of CA **global** rules, focusing on resiliency
- ▶ Main result: all bijective CA global rules are 1-resilient
- ▶ Linear CA are equivalent to linear cyclic codes
- ▶ Minimum distance \Leftrightarrow Resiliency of CA global rule

Cyclic codes form a broad category of linear codes:

- ▶ Reed-Solomon Codes
- ▶ BCH Codes
- ▶ Reed-Muller Codes
- ▶ ...

Applications to cryptography:

- ▶ MDS matrices for diffusion layer in block ciphers
- ▶ Secret sharing schemes
- ▶ Analysis of other properties of CA global rules (nonlinearity,...)

-  [Chor85] B. Chor, O. Goldreich, J. Hastad, J. Freidmann, S. Rudich, R. Smolensky: The bit extraction problem or t -resilient functions. In: Proceedings of FOCS '85, pp. 396–407. IEEE Computer Society (1985)
-  [Leporati13] Leporati, A., Mariot, L.: 1-Resiliency of Bipermutive Cellular Automata Rules. In: AUTOMATA 2013, LNCS 8155, pp. 110-123 (2013)
-  [Stinson04] Stinson, D.R.: Combinatorial Designs: Constructions and Analysis. Springer, Heidelberg (2004)
-  [Wolfram86] Wolfram, S.: Random Sequence Generation by Cellular Automata. Adv. Appl. Math. 7(2), 123–169 (1986)