

# Cyclic Codes and Cellular Automata

## Journées Calculabilités 2016

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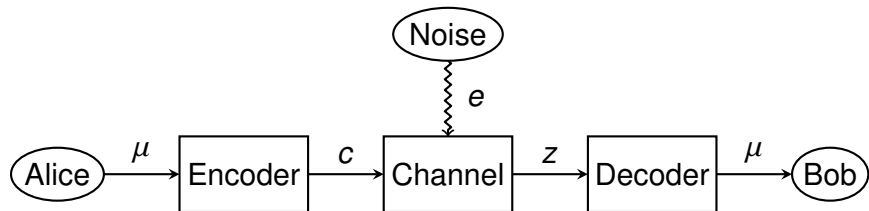
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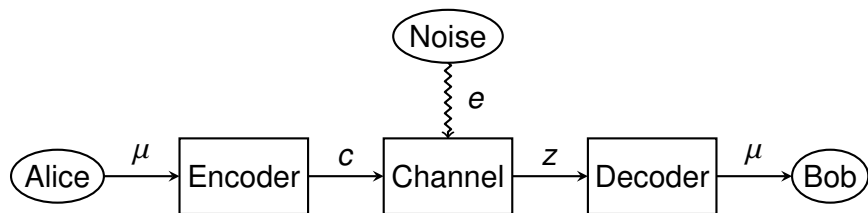
## **Part 1: Error-Correcting Codes Basics**

# Communication Model



- ▶  $\mu \in \{0, 1\}^m$ : message
- ▶  $c \in \{0, 1\}^n$ : codeword ( $n > m$ )
- ▶  $e \in \{0, 1\}^n$ : error pattern
- ▶  $z = c \oplus e$  (received word)

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**ASSUMPTION:** at most  $t < \lfloor \frac{n}{2} \rfloor$  errors  $\Rightarrow w_H(e) \leq t$

## Definition

A  $(n, m, d)$  binary linear code  $C$  of minimum distance  $d$  is an  $m$ -dimensional subspace of  $\mathbb{F}_2^n$ , such that for all distinct  $c_1, c_2 \in C$

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$g_1, \dots, g_m \in \mathbb{F}_2^n$  basis of  $C \Leftrightarrow G = \begin{pmatrix} g_1 \\ \vdots \\ g_m \end{pmatrix} m \times n$  **generator matrix** of  $C$

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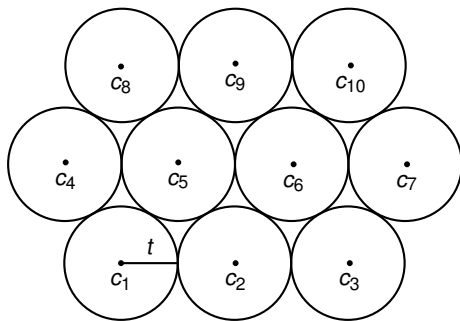
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**Encoding:** vector-matrix multiplication

$$\mu \mapsto c = \mu G$$

# Error Correction (Sphere Shrinking – SS)

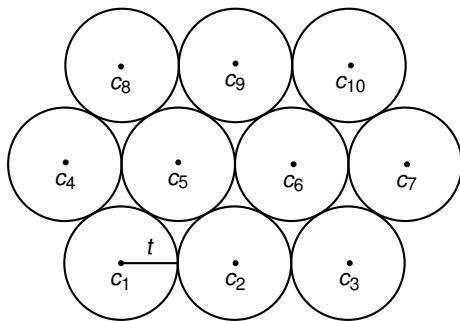
- ▶  $t = \lfloor \frac{d-1}{2} \rfloor \Leftrightarrow$  Error-correction capability of  $C$
- ▶ **Sphere** of  $c \in C \Leftrightarrow S_c = \{z \in \mathbb{F}_2^n : d_H(z, c) \leq t\}$





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**SS-Decoding:** return the nearest codeword  $c \in C$  to  $z \in \mathbb{F}_2^n$

- ▶ **Parity Check Matrix:** a  $(n - m) \times n$  matrix  $H$  such that

$$s = Hz^T = 0 \Leftrightarrow z \in C$$

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**Syndrome Decoding:** find  $e \in \mathbb{F}_2^n$  and return  $c = z \oplus e$

## Definition

A  $(n, m, d)$  linear code is **cyclic** if it is closed under **cyclic shifts**, i.e. for all  $c = (c_0, c_1, \dots, c_{n-1}) \in C$

$$\sigma(c) = (c_1, \dots, c_{n-1}, c_0) \in C$$

- ▶ **Polynomial representation:**

$$\mu = (\mu_0, \dots, \mu_{m-1}) \mapsto \mu(X) = \mu_0 + \mu_1 X + \dots + \mu_{m-1} X^{m-1}$$

# Generator and Parity Check Polynomials

- ▶ Generator polynomial:  $g(X) = g_0 + g_1X + \dots + g_{n-m}X^{n-m}$

**Encoding:**  $\mu(X) \mapsto c(X) = \mu(X)g(X)$

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**Cyclic codes of length  $n \Leftrightarrow$  Divisors of  $X^n - 1$**



# Generator and Parity Check Matrices of Cyclic Codes

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$$G = \begin{pmatrix} g_0 & \dots & g_{n-m} & 0 & \dots & \dots & \dots & \dots & 0 \\ 0 & g_0 & \dots & g_{n-m} & 0 & \dots & \dots & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \dots & \dots & \dots & 0 & g_0 & \dots & g_{n-m} \end{pmatrix}$$

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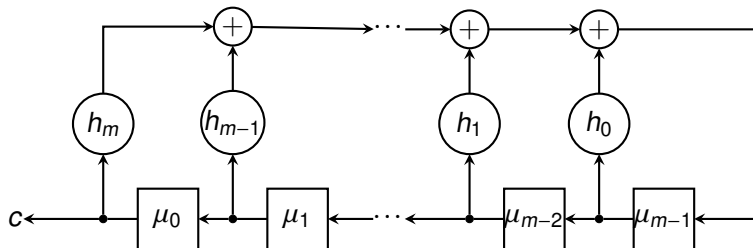
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# Systematic Encoding through LFSR [McEl85]

- ▶ Parity-check polynomial:  $h(X) = h_0 + h_1X + \dots + h_mX^m$
- ▶ **Reciprocal**:  $h^*(X) = X^m h(1/X) = h_m + h_{m-1}X + \dots + h_0X^m$

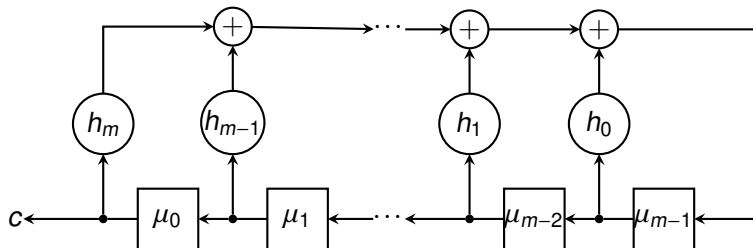
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$$c = (\underbrace{\mu_0, \dots, \mu_{m-1}}_{\text{original message}}, \underbrace{p_0, \dots, p_{n-m-1}}_{\text{parity check bits}})$$

## **Part 2: Cellular Automata**

# One-Dimensional Cellular Automata (CA)

## Definition

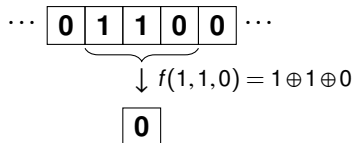
**One-dimensional cellular automaton:** triple  $\langle n, \delta, f \rangle$  where  $n \in \mathbb{N}$  is the number of cells arranged on a one-dimensional array,  $r \in \mathbb{N}$  is the diameter and  $f : \{0, 1\}^\delta \rightarrow \{0, 1\}$  is the local rule.

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Example:  $n = 8$ ,  $\delta = 3$ ,  $f(s_{i-1}, s_i, s_{i+1}) = s_{i-1} \oplus s_i \oplus s_{i+1}$



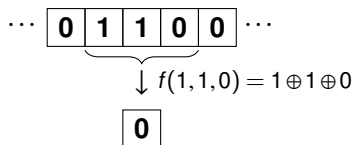


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**Remark:** No boundary conditions  $\Rightarrow$  The array “shrinks”

- ▶ Local rule: **linear combination** of the neighborhood cells

$$f(x_0, \dots, x_{\delta-1}) = a_0 x_0 \oplus \dots \oplus a_{\delta-1} x_{\delta-1} \quad , \quad a_i \in \mathbb{F}_2$$

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- ▶ Global rule:  $m \times (m + \delta - 1)$   $\delta$ -diagonal **transition matrix**

$$M_F = \begin{pmatrix} a_0 & \dots & a_{\delta-1} & 0 & \dots & \dots & \dots & \dots & 0 \\ 0 & a_0 & \dots & a_{\delta-1} & 0 & \dots & \dots & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \dots & \dots & \dots & 0 & a_0 & \dots & a_{\delta-1} \end{pmatrix}$$

$$x = (x_0, \dots, x_{n-1}) \mapsto M_F x^T$$

# Linear CA are Cyclic Codes

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**Linear CA  $\Leftrightarrow$  Cyclic codes**

**Question:** How is encoding/decoding performed?

# Preimage Computation in Linear CA

**Remark:** if  $a_0, a_{\delta-1} \neq 0$  then

$$y_i = a_0 x_0 \oplus \cdots \oplus a_{\delta-1} x_{\delta-1} \Rightarrow x_{\delta-1} = a_0 x_0 \oplus \cdots \oplus y_i$$



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1. Initialize the leftmost  $\delta - 1$  cells  $(x_0, \dots, x_{\delta-1})$

$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline \mathbf{0} & \mathbf{1} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} & \mathbf{?} \\ \hline \end{array}$$
$$y = \begin{array}{|c|c|c|c|c|c|} \hline \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{1} & \mathbf{1} & \mathbf{0} \\ \hline \end{array}$$


Example: rule 150,  $f(x_1, x_2, x_3) = x_1 \oplus x_2 \oplus x_3$

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2. Compute  $x_{\delta-1} = x_{\delta-1} = a_0 x_0 \oplus \cdots \oplus y_0$

$$0 \oplus 1 \oplus 1 = 0$$


$x =$ 

0	1	?	?	?	?	?	?
---	---	---	---	---	---	---	---

$y =$ 

1	0	0	1	1	0
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3. Shift the  $(\delta - 1)$ -cell window one place to the right

$$x = \begin{array}{|c|c|c|c|c|c|c|c|} \hline 0 & 1 & 0 & ? & ? & ? & ? & ? \\ \hline \end{array}$$
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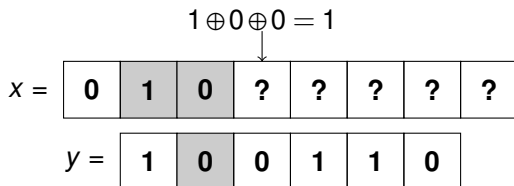
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4. Compute  $x_\delta = a_0 x_1 \oplus \cdots \oplus y_1$



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5. Repeat until preimage is complete

$$0 \oplus 1 \oplus 0 = 1$$

↓

$x =$	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	?	?	?	?
$y =$	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>		

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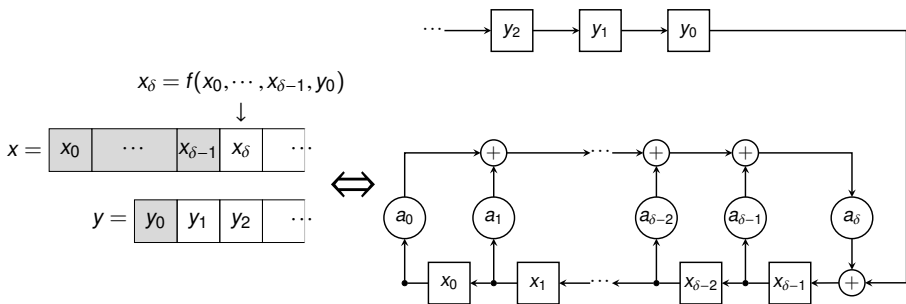
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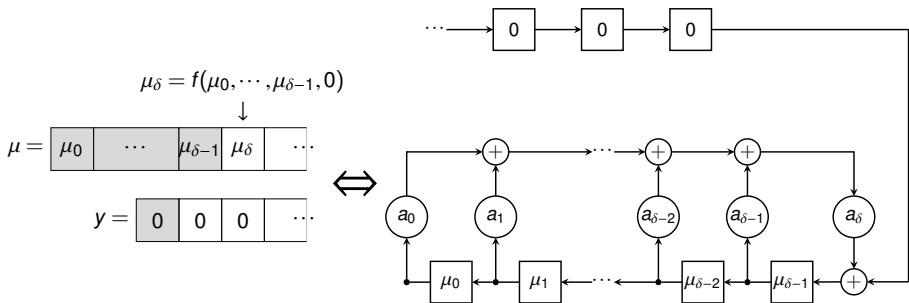
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# Preimage Computation = Systematic Encoding



Preimage of linear CA  $\Leftrightarrow$  LFSR "disturbed" by configuration  $y$

# Preimage Computation = Systematic Encoding



Systematic Encoding  $\Leftrightarrow$  0-preimage of CA initialized with message



# Syndrome Computation = CA Iteration

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$\Downarrow F$

$$s = \begin{array}{|c|c|c|c|c|c|} \hline 0 & 0 & 0 & 0 & 0 & 0 \\ \hline \end{array}$$

(a)  $s = \underline{0} \Rightarrow$  No errors

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**Last Missing Piece:** minimum distance  $d$

## Definition

$F : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$  is *t-resilient* if, by fixing any  $t$  input variables  $x_{i_1}, \dots, x_{i_t}$ , the resulting restriction  $\tilde{F} : \mathbb{F}_2^{n-t} \rightarrow \mathbb{F}_2^m$  is *balanced*.

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## Theorem ([Stin04])

A linear function  $F : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$  is  $(d-1)$ -resilient iff  $M_F$  is the generator matrix of a  $(n, m, d)$  linear code.

## **Part 3: Cyclic Hamming Codes by CA**



- ▶ Minimum distance:  $d = 3$  ( $\Rightarrow$  can correct up to 1 error)
- ▶ Syndrome value  $\Leftrightarrow$  column of the parity check matrix  $H$  where the error occurred
- ▶ A  $(n, m, 3)$  cyclic code is a Hamming code iff the generator polynomial is primitive

## The (7,4,3) Hamming Code through CA (1/4)

- ▶ Let  $\langle 7,4,f \rangle$  be a CA induced by local rule  $f(x) = x_1 \oplus x_2 \oplus x_4$ .

# The (7,4,3) Hamming Code through CA (1/4)

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- ▶ Transition matrix of  $F : \mathbb{F}_2^7 \rightarrow \mathbb{F}_2^4$ :

$$M_F = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

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- ▶ Associated polynomial:  $f \mapsto g(X) = 1 + X + X^3$
- ▶  $g(X)$  is primitive and divides  $X^7 - 1$ ,  $F$  is 2-resilient

## The (7,4,3) Hamming Code through CA (2/4)

Parity check polynomial:

- ▶  $h(X) = (X^7 - 1)/g(X) = 1 + X + X^2 + X^4$

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- ▶ Transition matrix of  $F^* : \mathbb{F}_2^7 \rightarrow \mathbb{F}_2^3$ :

$$M_{F^*} = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}$$



# The (7,4,3) Hamming Code through CA (3/4)

Example of systematic encoding: Let  $\mu = (0, 1, 1, 0)$

$$x = \begin{array}{|c|c|c|c|c|c|c|} \hline & \underbrace{\hspace{4em}}_{\mu} & & & & & \\ \hline 0 & 1 & 1 & 0 & ? & ? & ? \\ \hline \end{array}$$

$\underline{0} = \begin{array}{|c|c|c|} \hline 0 & 0 & 0 \\ \hline \end{array}$

(a) Initialization

$$x = \begin{array}{|c|c|c|c|c|c|c|} \hline & \underbrace{\hspace{4em}}_{\mu} & & & & & \\ \hline 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ \hline \end{array}$$

$\underline{0} = \begin{array}{|c|c|c|} \hline 0 & 0 & 0 \\ \hline \end{array}$

(b) Complete codeword

# The (7,4,3) Hamming Code through CA (4/4)

Example of error correction: suppose the 4th bit has been flipped

$$x = \begin{array}{|c|c|c|c|c|c|c|} \hline 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ \hline \end{array}$$

\*

$$s = \begin{array}{|c|c|c|} \hline 1 & 1 & 0 \\ \hline \end{array}$$

(a) Syndrome computation

$$\begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}$$

↑

(b) Error correction



- ▶ Linear CA are equivalent to linear cyclic codes
- ▶ Systematic encoding  $\Leftrightarrow$  CA preimage computation
- ▶ Syndrome computation  $\Leftrightarrow$  CA forward evolution
- ▶ Minimum distance  $\Leftrightarrow$  Resiliency of CA global rule

Cyclic codes form a broad category of linear codes:

- ▶ Reed-Solomon Codes
- ▶ BCH Codes
- ▶ Reed-Muller Codes
- ▶ ...

Applications to cryptography:

- ▶ MDS matrices for diffusion layer in block ciphers
- ▶ Secret sharing schemes

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